

# SWARAJ ENGINES LIMITED

**Works :**

Plot No. 2, Indl. Focal Point,  
Phase IX, S.A.S. Nagar,  
Distt. S.A.S. Nagar (Mohali)  
(Near Chandigarh)

**SWARAJ**

Tel. : 0172-2234941- 47, 2234950  
Fax : 91-172-2234955  
Email : mail@swarajenterprise.com

02/SP/EXCH  
12<sup>th</sup> October, 2015

**The General Manager**  
**Corp. Relationship Deptt**  
**BSE Ltd.**  
1<sup>st</sup>. Floor, New Trading Ring,  
Rotunda Building, P J Towers,  
Dalal Street, Fort, Mumbai-400 001

Fax No. 022-22722037,39,41,61,  
22723121, 22723719  
Phone No. 022-22721121-22,  
22722375, 22721233  
E-mail ID -  
corp.relations@bseindia.com

**The Secretary**  
**National Stock Exchange of India Ltd.**  
Capital Market-Listing, Exchange Plaza,  
Bandra – Kurla Complex, Bandra (E),  
Mumbai-400 051

Fax No. 022-26598237-38  
Phone No. 022- 26598235-36  
E-mail ID - cmlist@nse.co.in

## SUB: Shareholding Pattern for the Quarter ended 30th September, 2015

Dear Sir,

With reference to the Clause 35 of the Listing Agreement, we are pleased to enclose herewith Shareholding Pattern as on 30th September, 2015 for your reference and record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

**For Swaraj Engines Limited**



**(M.S.GREWAL)**  
**Company Secretary**

Introductory sub-table 1)(a)

Name of the Company: **SWARAJ ENGINES LIMITED**

Scrip Code, Name of the scrip, class of security: **BSE-500407/NSE-SWARAJENG-EQUITY**

Quarter ended: **30th September, 2015**

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly	As a % of total no. of shares of
		paid-up shares	the company

Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - D</b>	<b>0</b>	<b>0</b>	<b>0</b>

Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of	As a % of total no. of shares of
		outstanding convertible securities	the company, assuming full conversion of the convertible securities

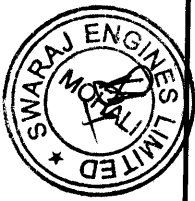
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - E</b>	<b>0</b>	<b>0</b>	<b>0</b>

Warrants:-	No. of warrants	As a % of total no.	As a % of total no. of shares of
		of warrants	the company, assuming full conversion of warrants

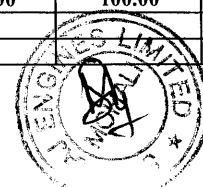
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - F</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Total paid-up capital of the company, assuming full conversion of warrants and convertible securities**

12419820



Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of(A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	6286417	6286417	50.62	50.62	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)								
(e-ii)								
	<b>Sub Total(A)(1)</b>	<b>2</b>	<b>6286417</b>	<b>6286417</b>	<b>50.62</b>	<b>50.62</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i								
d-ii								
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>2</b>	<b>6286417</b>	<b>6286417</b>	<b>50.62</b>	<b>50.62</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	16	1192341	1192341	9.60	9.60	0	0.00
(b)	Financial Institutions /Banks	3	10634	10334	0.09	0.09	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	1	9290	9290	0.07	0.07	0	0.00
(f)	Foreign Institutional Investors	15	632548	632548	5.09	5.09	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(h-i)								
(h-ii)								
	<b>Sub-Total (B)(1)</b>	<b>35</b>	<b>1844813</b>	<b>1844513</b>	<b>14.85</b>	<b>14.85</b>	<b>0</b>	<b>0.00</b>
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	382	712671	712068	5.74	5.74	0	0.00
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	12215	2469507	2325197	19.88	19.88	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	17	907640	874640	7.31	7.31	0	0.00
(c)	Any Other (specify)							
(c-i)	Trust & Foundation	6	57850	57850	0.47	0.47	0	0.00
(c-ii)	NRI's	388	140322	140322	1.13	1.13	0	0.00
(ciii)	OCB	1	600	600	0.00	0.00	0	0.00
	<b>Sub-Total (B)(2)</b>	<b>13009</b>	<b>4288590</b>	<b>4110677</b>	<b>34.53</b>	<b>34.53</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>13044</b>	<b>6133403</b>	<b>5955190</b>	<b>49.38</b>	<b>49.38</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>13046</b>	<b>12419820</b>	<b>12241607</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00
2	Public	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>13046</b>	<b>12419820</b>	<b>12241607</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>



Sr.No	Notes
1	NIL
2	
3	
4	
5	
6	
7	
8	
9	



(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage $(V)=(VI)/(III)*100$	As a % of grand total (A)+(B)+(C) of sub-clause (D)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held		As a % of total number of convertible securities of the same class
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Mahindra & Mahindra Ltd	4126417	33.22	0	0.00	0.00	0	0	0	0	0
2	Kirtoskar Industries Ltd	2160000	17.39	0	0.00	0.00	0	0	0	0	0
3											
4											
	<b>TOTAL</b>	<b>6286417</b>	<b>50.62</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



**(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Pinebridge Investments Gf Mauritius Limited	340648	2.74	0	0	0	0	0
2	Dsp Blackrock Micro Cap Fund	321549	2.59	0	0	0	0	0
3	Franklin India Smaller Companies Fund	201210	1.62	0	0	0	0	0
4	Reeta Keyur Parikh	176500	1.42	0	0	0	0	0
5	National Westminster Bank Plc As Trustee Of The Jupiter India	176099	1.42	0	0	0	0	0
6	Vikram Chinubhai Shah	176000	1.42	0	0	0	0	0
7	Catamaran Management Services Private Limited	166300	1.34	0	0	0	0	0
8	Sbi Magnum Midcap Fund	159423	1.28	0	0	0	0	0
9	Hdfc Small & Midcap Fund	150000	1.21	0	0	0	0	0
10	Tata Investment Corporation Limited	130000	1.05	0	0	0	0	0
<b>TOTAL</b>		<b>1997729</b>	<b>16.09</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>



**T)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1				0	0	0	0	
2				0	0	0	0	
3								
4								
5								
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



(1)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}
1	NIL	NIL	NIL
2			
3			
4			
5			
6			
7			
8			
9			
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>









(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer

Sr. No.	Category of shareholder	No of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e.(VI)	As a % of (A+B+C)
		Class X	Class Y	Class Z		As a % of (A+B)	
1	NA	NA	NA	NA	NA	NA	NA

